

Subject: Theory of computation

Topic: PDA

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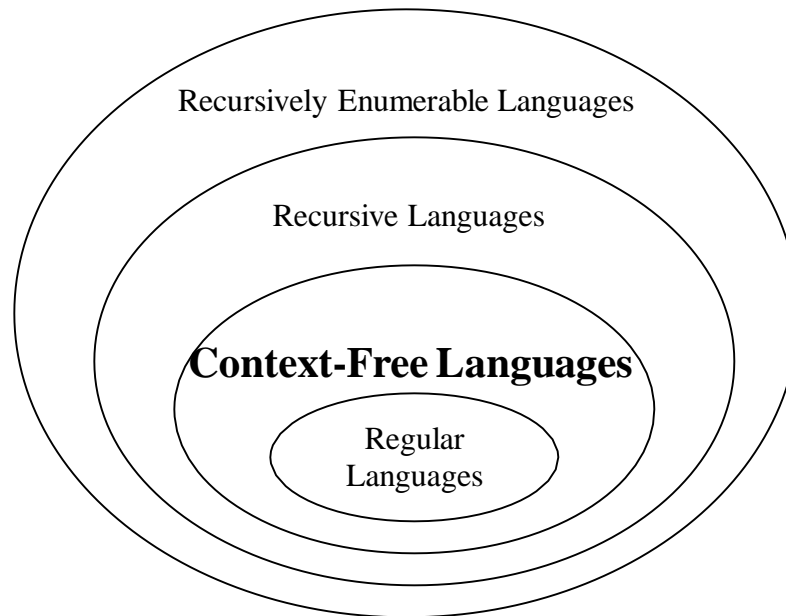
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# Hierarchy of languages

Regular Languages  Finite State Machines, Regular Expression

Context Free Languages  Context Free Grammar, **Push-down Automata**

Non-Recursively Enumerable Languages



# Pushdown Automata (PDA)

- **Informally:**
  - A PDA is an NFA- $\epsilon$  with a stack.
  - Transitions are modified to accommodate stack operations.
- **Questions:**
  - What is a stack?
  - How does a stack help?
- A DFA can “remember” only a finite amount of information, whereas a PDA can “remember” an infinite amount of (certain types of) information, in one memory-stack

- Example:**

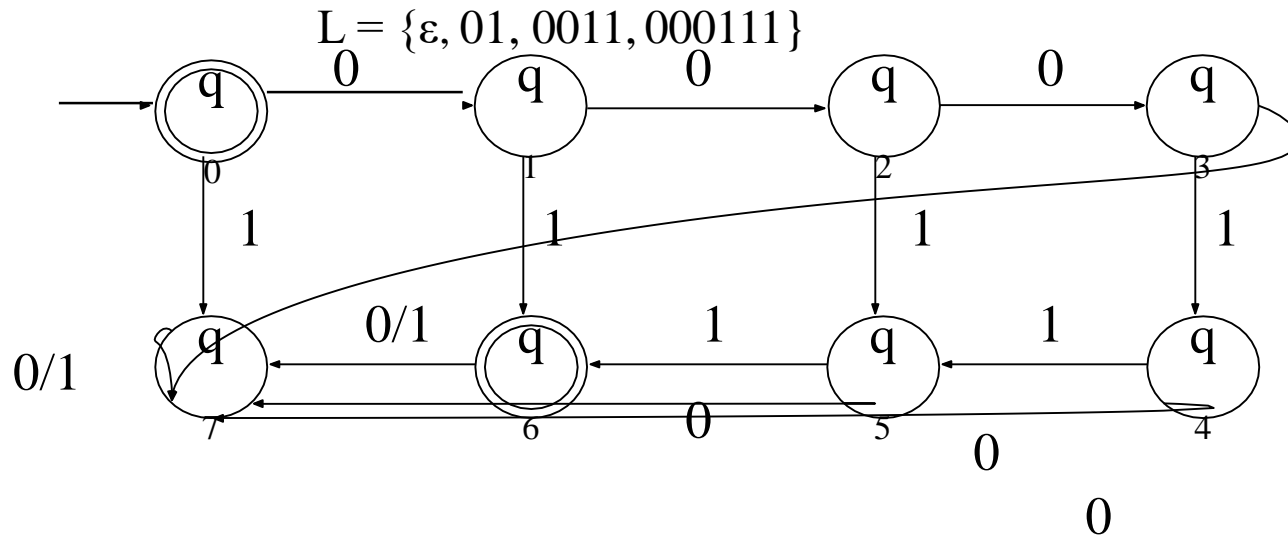
$\{0^n 1^n \mid 0 \leq n\}$   
regular, but

is *not*

$\{0^n 1^n \mid 0 \leq n \leq k, \text{ for some fixed } k\}$

is regular, for any fixed  $k$ .

- For  $k=3$ :**



- In a DFA, each state remembers a finite amount of information.
- To get  $\{0^n 1^n \mid 0 \leq n\}$  with a DFA would require an infinite number of states using the preceding technique.
- An infinite stack solves the problem for  $\{0^n 1^n \mid 0 \leq n\}$  as follows:
  - Read all 0's and place them on a stack
  - Read all 1's and match with the corresponding 0's on the stack
- Only need two states to do this in a PDA
- Similarly for  $\{0^n 1^m 0^{n+m} \mid n, m \geq 0\}$

# Formal Definition of a PDA

- A pushdown automaton (PDA) is a seven-tuple:

$$M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$$

$Q$  A finite set of states

$\Sigma$  A finite input alphabet

$\Gamma$  A finite stack alphabet

$q_0$  The initial/starting state,  $q_0$  is in  $Q$

$z_0$  A starting stack symbol, is in  $\Gamma$  // need not always remain at the bottom of stack

$F$  A set of final/accepting states, which is a subset of  $Q$

$\delta$  A transition function, where

$$\delta: Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow \text{finite subsets of } Q \times \Gamma^*$$

- Consider the various parts of  $\delta$ :

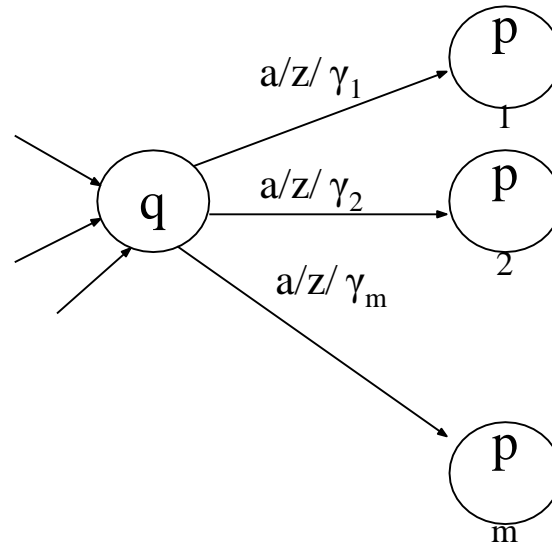
$Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow$  finite subsets of  $Q \times \Gamma^*$

- $Q$  on the LHS means that at each step in a computation, a PDA must consider its' current state.
- $\Gamma$  on the LHS means that at each step in a computation, a PDA must consider the symbol on top of its' stack.
- $\Sigma \cup \{\epsilon\}$  on the LHS means that at each step in a computation, a PDA may or may not consider the current input symbol, i.e., it may have epsilon transitions.
- “Finite subsets” on the RHS means that at each step in a computation, a PDA may have several options.
- $Q$  on the RHS means that each option specifies a new state.
- $\Gamma^*$  on the RHS means that each option specifies zero or more stack symbols that will replace the top stack symbol, but *in a specific sequence*.

- **Two types of PDA transitions:**

$$\delta(q, a, z) = \{(p_1, \gamma_1), (p_2, \gamma_2), \dots, (p_m, \gamma_m)\}$$

- Current state is  $q$
- Current input symbol is  $a$
- Symbol currently on top of the stack  $z$
- Move to state  $p_i$  from  $q$
- Replace  $z$  with  $\gamma_i$  on the stack (leftmost symbol on top)
- Move the input head to the next input symbol

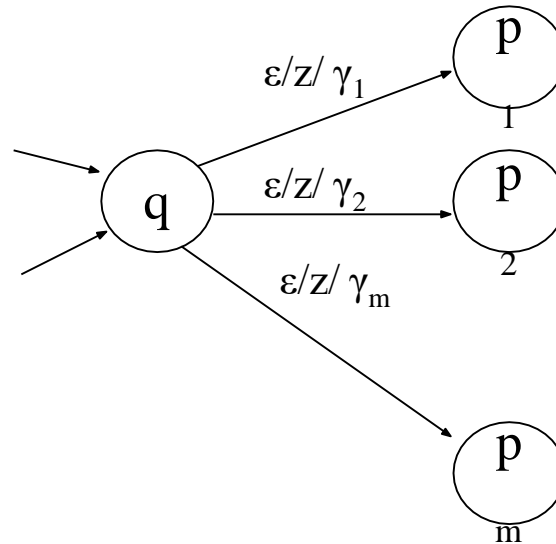




- **Two types of PDA transitions:**

$$\delta(q, \varepsilon, z) = \{(p_1, \gamma_1), (p_2, \gamma_2), \dots, (p_m, \gamma_m)\}$$

- Current state is  $q$
- Current input symbol is not considered
- Symbol currently on top of the stack  $z$
- Move to state  $p_i$  from  $q$
- Replace  $z$  with  $\gamma_i$  on the stack (leftmost symbol on top)
- **No input symbol is read**



- **Example:**  $0^n 1^n$ ,  $n \geq 0$

$$M = (\{q_1, q_2\}, \{0, 1\}, \{L, \#\}, \delta, q_1, \#, \emptyset)$$

$\delta$ :

$$(1) \quad \delta(q_1, 0, \#) = \{(q_1, L\#)\} \quad // \text{stack order: L on top, then \# below}$$

$$(2) \quad \delta(q_1, 1, \#) = \emptyset \quad // \text{illegal, string rejected, } \textit{When will it}$$

*happen?*

$$(3) \quad \delta(q_1, 0, L) = \{(q_1, LL)\}$$

$$(4) \quad \delta(q_1, 1, L) = \{(q_2, \varepsilon)\}$$

$$(5) \quad \delta(q_2, 1, L) = \{(q_2, \varepsilon)\}$$

$$(6) \quad \delta(q_2, \varepsilon, \#) = \{(q_2, \varepsilon)\} \quad // \text{if } \varepsilon \text{ read \& stack hits bottom, accept}$$

$$(7) \quad \delta(q_2, \varepsilon, L) = \emptyset \quad // \text{illegal, string rejected}$$

$$(8) \quad \delta(q_1, \varepsilon, \#) = \{(q_2, \varepsilon)\} \quad // n=0, accept$$

- **Goal:** (acceptance)

- Read the entire input string
- Terminate with an empty stack

- Informally, a string is accepted if there exists a computation that uses up all the input and leaves the stack empty.
- *How many rules should be there in delta?*

- Language:  $0^n 1^n, n \geq 0$

$\delta$ :

- (1)  $\delta(q_1, 0, \#) = \{(q_1, L\#)\}$  // stack order: L on top, then # below
- (2)  $\delta(q_1, 1, \#) = \emptyset$  // illegal, string rejected, *When will it happen?*
- (3)  $\delta(q_1, 0, L) = \{(q_1, LL)\}$
- (4)  $\delta(q_1, 1, L) = \{(q_2, \epsilon)\}$
- (5)  $\delta(q_2, 1, L) = \{(q_2, \epsilon)\}$
- (6)  $\delta(q_2, \epsilon, \#) = \{(q_2, \epsilon)\}$  //if  $\epsilon$  read & stack hits bottom, accept
- (7)  $\delta(q_2, \epsilon, L) = \emptyset$  // illegal, string rejected
- (8)  $\delta(q_1, \epsilon, \#) = \{(q_2, \epsilon)\}$  // n=0, accept

- **0011**

- $(q_1, 0 011, \#)$  |-

$(q_1, 0 11, L\#)$  |-

$(q_1, 1 1, LL\#)$  |-

$(q_2, 1, L\#)$  |-

$(q_2, \epsilon, \#)$  |-

$(q_2, \epsilon, \epsilon)$ : **accept**

- **011**

- $(q_1, 0 11, \#)$  |-

$(q_1, 1 1, L\#)$  |-

$(q_2, 1, \#)$  |-

$\emptyset$ : **reject**

- **Try 001**

- **Example:** balanced parentheses,
- e.g. in-language:  $((()())$ , or  $((()())$ , but not-in-language:  $((())$

$$M = (\{q_1\}, \{“(“ , “)”\}, \{L, \#\}, \delta, q_1, \#, \emptyset)$$

$\delta$ :

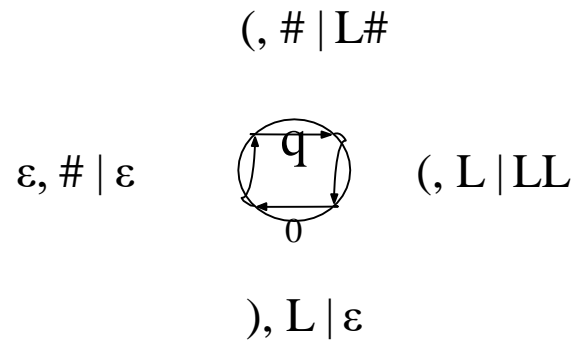
- (1)  $\delta(q_1, (, \#) = \{(q_1, L\#)\}$  // stack order: L-on top-then- # lower
- (2)  $\delta(q_1, ), \#) = \emptyset$  // illegal, string rejected
- (3)  $\delta(q_1, (, L) = \{(q_1, LL)\}$
- (4)  $\delta(q_1, ), L) = \{(q_1, \epsilon)\}$
- (5)  $\delta(q_1, \epsilon, \#) = \{(q_1, \epsilon)\}$  //if  $\epsilon$  read & stack hits bottom, accept
- (6)  $\delta(q_1, \epsilon, L) = \emptyset$  // illegal, string rejected

*// What does it*

*mean? When will it happen?*

- **Goal:** (acceptance)
  - Read the entire input string
  - Terminate with an empty stack
- Informally, a string is accepted if there exists a computation that uses up all the input and leaves the stack empty.
- *How many rules should be in delta?*

- Transition Diagram:



- Example Computation:

| <u>Current Input</u>               | <u>Stack</u> | <u>Transition</u> |                   |
|------------------------------------|--------------|-------------------|-------------------|
| (()                                | #            |                   | -- initial status |
| )                                  | L#           |                   | (1)               |
| - Could have applied rule (5), but |              |                   |                   |
| ))                                 | LL#          |                   | (3)               |
| it would have done no good         |              |                   |                   |
| )                                  | L#           |                   | (4)               |
| $\varepsilon$                      | #            |                   | (4)               |
| $\varepsilon$                      | -            |                   | (5)               |

- **Example PDA #1:** For the language  $\{x \mid x = w c w^r \text{ and } w \text{ in } \{0,1\}^*, \text{ but } \sigma = \{0,1,c\}\}$
- *Is this a regular language?*
- *Note: length  $|x|$  is odd*

$$M = (\{q_1, q_2\}, \{0, 1, c\}, \{\#, B, G\}, \delta, q_1, \#, \emptyset)$$

$\delta$ :

|        |     |                                                         |      |                                              |
|--------|-----|---------------------------------------------------------|------|----------------------------------------------|
| $G\#)$ | (1) | $\delta(q_1, 0, \#) = \{(q_1, B\#)\}$                   | (9)  | $\delta(q_1, 1, \#) = \{(q_1,$               |
|        | (2) | $\delta(q_1, 0, B) = \{(q_1, BB)\}$                     | (10) | $\delta(q_1, 1, B) = \{(q_1, GB)\}$          |
|        | (3) | $\delta(q_1, 0, G) = \{(q_1, BG)\}$                     | (11) | $\delta(q_1, 1, G) = \{(q_1, GG)\}$          |
|        | (4) | $\delta(q_1, c, \#) = \{(q_2, \#)\}$                    |      |                                              |
|        | (5) | $\delta(q_1, c, B) = \{(q_2, B)\}$                      |      |                                              |
|        | (6) | $\delta(q_1, c, G) = \{(q_2, G)\}$                      |      |                                              |
|        | (7) | $\delta(q_2, 0, B) = \{(q_2, \varepsilon)\}$            | (12) | $\delta(q_2, 1, G) = \{(q_2, \varepsilon)\}$ |
|        | (8) | $\delta(q_2, \varepsilon, \#) = \{(q_2, \varepsilon)\}$ |      |                                              |

- **Notes:**
  - Stack grows leftwards
  - Only rule #8 is non-deterministic.
  - Rule #8 is used to pop the final stack symbol off at the end of a computation.

- Example Computation:**

- |                  |     |                                                         |      |                                     |
|------------------|-----|---------------------------------------------------------|------|-------------------------------------|
| $G\#\}$          | (1) | $\delta(q_1, 0, \#) = \{(q_1, B\#)\}$                   | (9)  | $\delta(q_1, 1, \#) = \{(q_1,$      |
|                  | (2) | $\delta(q_1, 0, B) = \{(q_1, BB)\}$                     | (10) | $\delta(q_1, 1, B) = \{(q_1, GB)\}$ |
|                  | (3) | $\delta(q_1, 0, G) = \{(q_1, BG)\}$                     | (11) | $\delta(q_1, 1, G) = \{(q_1, GG)\}$ |
|                  | (4) | $\delta(q_1, c, \#) = \{(q_2, \#)\}$                    |      |                                     |
|                  | (5) | $\delta(q_1, c, B) = \{(q_2, B)\}$                      |      |                                     |
|                  | (6) | $\delta(q_1, c, G) = \{(q_2, G)\}$                      |      |                                     |
|                  | (7) | $\delta(q_2, 0, B) = \{(q_2, \varepsilon)\}$            | (12) | $\delta(q_2, 1, G) = \{(q_2,$       |
| $\varepsilon)\}$ | (8) | $\delta(q_2, \varepsilon, \#) = \{(q_2, \varepsilon)\}$ |      |                                     |

| <u>Applicable</u> | <u>State</u> | <u>Input</u> | <u>Stack</u> | <u>Rule Applied</u> | <u>Rules</u> |
|-------------------|--------------|--------------|--------------|---------------------|--------------|
|                   | $q_1$        | <b>01c10</b> | $\#$         |                     |              |
|                   | (1)          |              |              |                     |              |
|                   | $q_1$        | <b>1c10</b>  | $B\#$        |                     | (1)          |
| (10)              |              |              |              |                     |              |
|                   | $q_1$        | <b>c10</b>   | $GB\#$       |                     | (10)         |
| (6)               |              |              |              |                     |              |
|                   | $q_2$        | <b>10</b>    | $GB\#$       |                     | (6)          |

- Example Computation:**

- |          |     |                                                         |      |                                              |
|----------|-----|---------------------------------------------------------|------|----------------------------------------------|
| $G\# \}$ | (1) | $\delta(q_1, 0, \#) = \{(q_1, B\#)\}$                   | (9)  | $\delta(q_1, 1, \#) = \{(q_1,$               |
|          | (2) | $\delta(q_1, 0, B) = \{(q_1, BB)\}$                     | (10) | $\delta(q_1, 1, B) = \{(q_1, GB)\}$          |
|          | (3) | $\delta(q_1, 0, G) = \{(q_1, BG)\}$                     | (11) | $\delta(q_1, 1, G) = \{(q_1, GG)\}$          |
|          | (4) | $\delta(q_1, c, \#) = \{(q_2, \#)\}$                    |      |                                              |
|          | (5) | $\delta(q_1, c, B) = \{(q_2, B)\}$                      |      |                                              |
|          | (6) | $\delta(q_1, c, G) = \{(q_2, G)\}$                      |      |                                              |
|          | (7) | $\delta(q_2, 0, B) = \{(q_2, \varepsilon)\}$            | (12) | $\delta(q_2, 1, G) = \{(q_2, \varepsilon)\}$ |
|          | (8) | $\delta(q_2, \varepsilon, \#) = \{(q_2, \varepsilon)\}$ |      |                                              |

| <u>State</u> | <u>Input</u>                    | <u>Stack</u>  | <u>Rule Applied</u> |
|--------------|---------------------------------|---------------|---------------------|
| $q_1$        | <b>1c1</b>                      | $\#$          |                     |
| $q_1$        | <b>c1</b>                       | $G\#$         | (9)                 |
| $q_2$        | <b>1</b>                        | $G\#$         | (6)                 |
| $q_2$        | <b><math>\varepsilon</math></b> | $\#$          | (12)                |
| $q_2$        | <b><math>\varepsilon</math></b> | $\varepsilon$ | (8)                 |

- Questions:**

- Why isn't  $\delta(q_2, 0, G)$  defined?
- Why isn't  $\delta(q_2, 1, B)$  defined?

- TRY: 11c1



- **Example PDA #2:** For the language  $\{x \mid x = ww^r \text{ and } w \text{ in } \{0,1\}^*\}$

- *Note: length  $|x|$  is even*

$$M = (\{q_1, q_2\}, \{0, 1\}, \{\#, B, G\}, \delta, q_1, \#, \emptyset)$$

$\delta$ :

$$(1) \quad \delta(q_1, 0, \#) = \{(q_1, B\#)\}$$

$$(2) \quad \delta(q_1, 1, \#) = \{(q_1, G\#)\}$$

$$(3) \quad \delta(q_1, 0, B) = \{(q_1, BB), (q_2, \varepsilon)\}$$

$$(4) \quad \delta(q_1, 0, G) = \{(q_1, BG)\}$$

$$\{(q_2, \varepsilon)\}$$

$$(5) \quad \delta(q_1, 1, B) = \{(q_1, GB)\}$$

$$\{(q_2, \varepsilon)\}$$

$$(6) \quad \delta(q_1, 1, G) = \{(q_1, GG),$$

$$(7) \quad \delta(q_2, 0, B) =$$

$$(8) \quad \delta(q_2, 1, G) =$$

$$\delta(q_1, \varepsilon, \#) = \{(q_2, \#)\}$$

(9)

$$\delta(q_2, \varepsilon, \#) = \{(q_2, \varepsilon)\}$$

(10)

- **Notes:**

- Rules #3 and #6 are non-deterministic: two options each

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- Rules #9 and #10 are used to pop the final stack symbol off at the end of a computation.

- Example Computation:**

$$(1) \quad \delta(q_1, 0, \#) = \{(q_1, B\#), \{(q_1, GG), (q_2, \varepsilon)\}\}$$

$$(2) \quad \delta(q_1, 1, \#) = \{(q_1, G\#), \{(q_2, \varepsilon)\}\}$$

$$(3) \quad \delta(q_1, 0, B) = \{(q_1, BB), (q_2, \varepsilon)\}$$

$$(4) \quad \delta(q_1, 0, G) = \{(q_1, BG), \{(q_2, \varepsilon)\}\}$$

$$(5) \quad \delta(q_1, 1, B) = \{(q_1, GB)\}$$

$$(6) \quad \delta(q_1, 1, G) =$$

$$(7) \quad \delta(q_2, 0, B) =$$

$$(8) \quad \delta(q_2, 1, G) = \{(q_2, \varepsilon)\}$$

$$(9) \quad \delta(q_1, \varepsilon, \#) =$$

$$(10) \quad \delta(q_2, \varepsilon, \#) = \{(q_2, \varepsilon)\}$$

| <u>State</u><br><u>Applicable</u> | <u>Input</u>  | <u>Stack</u>  | <u>Rule Applied</u> | <u>Rules</u> |
|-----------------------------------|---------------|---------------|---------------------|--------------|
| $q_1$<br>(1), (9)                 | 000000        | #             |                     |              |
| $q_1$<br>(3), both options        | 00000         | B#            | (1)                 |              |
| $q_1$<br>(3), both options        | 0000          | BB#           | (3) option #1       |              |
| $q_1$<br>(3), both options        | 000           | BBB#          | (3) option #1       |              |
| $q_2$                             | 00            | BB#           | (3) option #2       | (7)          |
| $q_2$<br>(7)                      | 0             | B#            | (7)                 |              |
| $q_2$<br>(10)                     | $\varepsilon$ | #             | (7)                 |              |
| $q_2$                             | $\varepsilon$ | $\varepsilon$ | (10)                |              |

- Negative Example Computation:**

(1)  $\delta(q_1, 0, \#) = \{(q_1, B\#), (q_1, GG), (q_2, \epsilon)\}$

(2)  $\delta(q_1, 1, \#) = \{(q_1, G\#), (q_2, \epsilon)\}$

(3)  $\delta(q_1, 0, B) = \{(q_1, BB), (q_2, \epsilon)\}$

(4)  $\delta(q_1, 0, G) = \{(q_1, BG), (q_2, \epsilon)\}$

(5)  $\delta(q_1, 1, B) = \{(q_1, GB)\}$

(6)  $\delta(q_1, 1, G) =$

(7)  $\delta(q_2, 0, B) =$

(8)  $\delta(q_2, 1, G) = \{(q_2, \epsilon)\}$

(9)  $\delta(q_1, \epsilon, \#) =$

(10)  $\delta(q_2, \epsilon, \#) = \{(q_2, \epsilon)\}$

| <u>State</u> | <u>Input</u> | <u>Stack</u> | <u>Rule Applied</u> |
|--------------|--------------|--------------|---------------------|
| $q_1$        | 000          | #            |                     |
| $q_1$        | 00           | B#           | (1)                 |
| $q_1$        | 0            | BB#          | (3) option #1       |
| $q_1$        | $\epsilon$   | BBB#         | (3) option #1       |

0, #) by option 2  
 -crashes, no-rule to apply-  
 option 2  
 string but not empty stack-  
 (q2, #)  
 (q2,  $\epsilon$ , B#) by  
 -rejects: end of

- Example Computation:**

$$(1) \quad \delta(q_1, 0, \#) = \{(q_1, B\#), (q_1, GG), (q_2, \varepsilon)\}$$

$$(2) \quad \delta(q_1, 1, \#) = \{(q_1, G\#), (q_2, \varepsilon)\}$$

$$(3) \quad \delta(q_1, 0, B) = \{(q_1, BB), (q_2, \varepsilon)\}$$

$$(4) \quad \delta(q_1, 0, G) = \{(q_1, BG), (q_2, \varepsilon)\}$$

$$(5) \quad \delta(q_1, 1, B) = \{(q_1, GB)\}$$

$$(6) \quad \delta(q_1, 1, G) =$$

$$(7) \quad \delta(q_2, 0, B) =$$

$$(8) \quad \delta(q_2, 1, G) = \{(q_2, \varepsilon)\}$$

$$(9) \quad \delta(q_1, \varepsilon, \#) =$$

$$(10) \quad \delta(q_2, \varepsilon, \#) = \{(q_2, \varepsilon)\}$$

|                  | <u>State</u> | <u>Input</u>  | <u>Stack</u>  | <u>Rule Applied</u> |
|------------------|--------------|---------------|---------------|---------------------|
|                  | $q_1$        | 010010        | #             |                     |
|                  | $q_1$        | 10010         | B#            | (1)                 |
| From (1) and (9) | $q_1$        | 0010          | GB#           | (5)                 |
|                  | $q_1$        | 010           | BGB#          | (4)                 |
|                  | $q_2$        | 10            | GB#           | (3) option #2       |
|                  | $q_2$        | 0             | B#            | (8)                 |
|                  | $q_2$        | $\varepsilon$ | #             | (7)                 |
|                  | $q_2$        | $\varepsilon$ | $\varepsilon$ | (10)                |

- Exercises:**

- 0011001100 // how many total options the machine (or you!) may need to try before rejection?
- 011110
- 0111

# Formal Definitions for PDAs

- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  be a PDA.
- **Definition:** An *instantaneous description (ID)* is a triple  $(q, w, \gamma)$ , where  $q$  is in  $Q$ ,  $w$  is in  $\Sigma^*$  and  $\gamma$  is in  $\Gamma^*$ .
  - $q$  is the current state
  - $w$  is the unused input
  - $\gamma$  is the current stack contents
- **Example:** (for PDA#2)

$(q_1, 111, GBR)$

$(q_1, 11, GGBR)$

$(q_1, 111, GBR)$

$(q_2, 11, BR)$

$(q_1, 000, GR)$

$(q_2, 00, R)$

- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  be a PDA.
- **Definition:** Let  $a$  be in  $\Sigma \cup \{\epsilon\}$ ,  $w$  be in  $\Sigma^*$ ,  $z$  be in  $\Gamma$ , and  $\alpha$  and  $\beta$  both be in  $\Gamma^*$ . Then:

$$(q, aw, z\alpha) \vdash_M (p, w, \beta\alpha)$$

if  $\delta(q, a, z)$  contains  $(p, \beta)$ .

- Intuitively, if  $I$  and  $J$  are instantaneous descriptions, then  $I \vdash J$  means that  $J$  follows from  $I$  by one transition.

- **Examples: (PDA #2)**

$(q_1, 111, GBR) \vdash (q_1, 11, GGBR)$   
 $w=11$ , and

(6) option #1, with  $a=1$ ,  $z=G$ ,  $\beta=GG$ ,  
 $\alpha=BR$

$(q_1, 111, GBR) \vdash (q_2, 11, BR)$   
 $w=11$ , and  
 $BR$

(6) option #2, with  $a=1$ ,  $z=G$ ,  $\beta=\epsilon$ ,  
 $\alpha=$

$(q_1, 000, GR) \vdash (q_2, 00, R)$   
and  $\alpha$

Is *not* true, For any  $a, z, \beta, w$

- **Examples: (PDA #1)**

$(q_1, ()), L\# \vdash (q_1, ()), LL\#$

(3)

- **Definition:**  $\vdash^*$  is the reflexive and transitive closure of  $\vdash$ .
  - $I \vdash^* I$  for each instantaneous description  $I$
  - If  $I \vdash J$  and  $J \vdash^* K$  then  $I \vdash^* K$
- Intuitively, if  $I$  and  $J$  are instantaneous descriptions, then  $I \vdash^* J$  means that  $J$  follows from  $I$  by zero or more transitions.



- **Definition:** Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  be a PDA. The *language accepted by empty stack*, denoted  $L_E(M)$ , is the set

$$\{w \mid (q_0, w, z_0) \vdash^* (p, \varepsilon, \varepsilon) \text{ for some } p \text{ in } Q\}$$

- **Definition:** Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  be a PDA. The *language accepted by final state*, denoted  $L_F(M)$ , is the set

$$\{w \mid (q_0, w, z_0) \vdash^* (p, \varepsilon, \gamma) \text{ for some } p \text{ in } F \text{ and } \gamma \text{ in } \Gamma^*\}$$

- **Definition:** Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  be a PDA. The *language accepted by empty stack and final state*, denoted  $L(M)$ , is the set

$$\{w \mid (q_0, w, z_0) \vdash^* (p, \varepsilon, \varepsilon) \text{ for some } p \text{ in } F\}$$

- **Lemma 1:** Let  $L = L_E(M_1)$  for some PDA  $M_1$ . Then there exists a PDA  $M_2$  such that  $L = L_F(M_2)$ .
- **Lemma 2:** Let  $L = L_F(M_1)$  for some PDA  $M_1$ . Then there exists a PDA  $M_2$  such that  $L = L_E(M_2)$ .
- **Theorem:** Let  $L$  be a language. Then there exists a PDA  $M_1$  such that  $L = L_F(M_1)$  if and only if there exists a PDA  $M_2$  such that  $L = L_E(M_2)$ .
- **Corollary:** The PDAs that accept by empty stack and the PDAs that accept by final state define the same class of languages.
- **Note:** Similar lemmas and theorems could be stated for PDAs that accept by both final state and empty stack.

*Back to CFG again:  
PDA equivalent to CFG*

- **Definition:** Let  $G = (V, T, P, S)$  be a CFL. If every production in  $P$  is of the form

$$A \rightarrow a\alpha$$

Where  $A$  is in  $V$ ,  $a$  is in  $T$ , and  $\alpha$  is in  $V^*$ , then  $G$  is said to be in Greibach Normal Form (GNF).

Only one non-terminal in front.

- **Example:**

$$S \rightarrow aAB \mid bB$$

$$A \rightarrow aA \mid a$$

$$B \rightarrow bB \mid c$$

*Language:  $(aa^+ + b)b^+c$*

- **Theorem:** Let  $L$  be a CFL. Then  $L - \{\epsilon\}$  is a CFL.
- **Theorem:** Let  $L$  be a CFL not containing  $\{\epsilon\}$ . Then there exists a GNF grammar  $G$  such that  $L = L(G)$ .

- **Lemma 1:** Let  $L$  be a CFL. Then there exists a PDA  $M$  such that  $L = L_E(M)$ .
- **Proof:** Assume without loss of generality that  $\epsilon$  is not in  $L$ . The construction can be modified to include  $\epsilon$  later.

Let  $G = (V, T, P, S)$  be a CFG, and assume without loss of generality that  $G$  is in GNF. Construct  $M = (Q, \Sigma, \Gamma, \delta, q, z, \emptyset)$  where:

$$Q = \{q\}$$

$$\Sigma = T$$

$$\Gamma = V$$

$$z = S$$

$\delta$ : for all  $a$  in  $\Sigma$  and  $A$  in  $\Gamma$ ,  $\delta(q, a, A)$  contains  $(q, \gamma)$

if  $A \rightarrow a\gamma$  is in  $P$  or rather:

$$\delta(q, a, A) = \{(q, \gamma) \mid A \rightarrow a\gamma \text{ is in } P \text{ and } \gamma \text{ is in } \Gamma^*\},$$

for all  $a$  in  $\Sigma$  and  $A$  in  $\Gamma$

- For a given string  $x$  in  $\Sigma^*$ ,  $M$  will attempt to simulate a leftmost derivation of  $x$  with  $G$ .

- **Example #1:** Consider the following CFG in GNF.

$$S \xrightarrow{>} aS$$

$$S \xrightarrow{>} a$$

G is in GNF

$$L(G) = a^+$$

Construct M as:

$$Q = \{q\}$$

$$\Sigma = T = \{a\}$$

$$\Gamma = V = \{S\}$$

$$z = S$$

$$\delta(q, a, S) = \{(q, S), (q, \varepsilon)\}$$

$$\delta(q, \varepsilon, S) = \emptyset$$

- *Is  $\delta$  complete?*

- **Example #2:** Consider the following CFG in GNF.

(1)  $S \rightarrow aA$

(2)  $S \rightarrow aB$

(3)  $A \rightarrow aA$

(4)  $A \rightarrow aB$

more  $a$ 's in the start

(5)  $B \rightarrow bB$

(6)  $B \rightarrow b$

*equivalent CFG? Will it be GNF?*

G is in GNF

$L(G) = a^+ b^+$  // This looks ok to me, one, two or

*[Can you write a simpler*

Construct M as:

$Q = \{q\}$

$\Sigma = \Gamma = \{a, b\}$

$\Gamma = V = \{S, A, B\}$

$z = S$

(1)  $\delta(q, a, S) = \{(q, A), (q, B)\}$

(2)  $\delta(q, a, A) = \{(q, A), (q, B)\}$

$A \rightarrow aB$

(3)  $\delta(q, a, B) = \emptyset$

(4)  $\delta(q, b, S) = \emptyset$

(5)  $\delta(q, b, A) = \emptyset$

(6)  $\delta(q, b, B) = \{(q, B), (q, \epsilon)\}$

(7)  $\delta(q, \epsilon, S) = \emptyset$

(8)  $\delta(q, \epsilon, A) = \emptyset$

(9)  $\delta(q, \epsilon, B) = \emptyset$

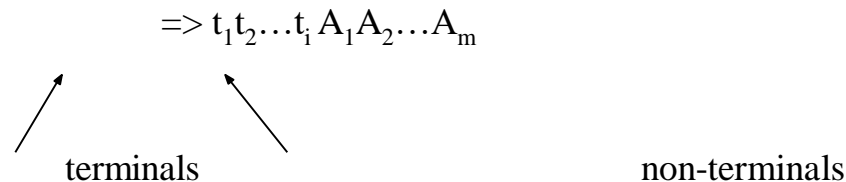
From productions #1 and 2,  $S \rightarrow aA, S \rightarrow aB$

From productions #3 and 4,  $A \rightarrow aA,$

From productions #5 and 6,  $B \rightarrow bB, B \rightarrow b$

*Is  $\delta$  complete?*

- For a string  $w$  in  $L(G)$  the PDA  $M$  will simulate a leftmost derivation of  $w$ .
  - If  $w$  is in  $L(G)$  then  $(q, w, z_0) \vdash^* (q, \varepsilon, \varepsilon)$
  - If  $(q, w, z_0) \vdash^* (q, \varepsilon, \varepsilon)$  then  $w$  is in  $L(G)$
- Consider generating a string using  $G$ . Since  $G$  is in GNF, each sentential form in a *leftmost* derivation has form:



- And each step in the derivation (i.e., each application of a production) adds a terminal and some non-terminals.

$$\begin{aligned} & A_1 \rightarrow t_{i+1} \alpha \\ \Rightarrow & t_1 t_2 \dots t_i t_{i+1} \alpha A_1 A_2 \dots A_m \end{aligned}$$

- Each transition of the PDA simulates one derivation step. Thus, the  $i^{\text{th}}$  step of the PDAs' computation corresponds to the  $i^{\text{th}}$  step in a corresponding leftmost derivation with the grammar.
- After the  $i^{\text{th}}$  step of the computation of the PDA,  $t_1 t_2 \dots t_{i+1}$  are the symbols that have already been read by the PDA and  $\alpha A_1 A_2 \dots A_m$  are the stack contents.



- For each leftmost derivation of a string generated by the grammar, there is an equivalent accepting computation of that string by the PDA.
- Each sentential form in the leftmost derivation corresponds to an instantaneous description in the PDA's corresponding computation.
- For example, the PDA instantaneous description corresponding to the sentential form:

$$\Rightarrow t_1 t_2 \dots t_i A_1 A_2 \dots A_m$$

would be:

$$(q, t_{i+1} t_{i+2} \dots t_n, A_1 A_2 \dots A_m)$$

- **Example:** Using the grammar from example #2:

$S \Rightarrow aA$  (1)  
 $\Rightarrow aaA$  (3)  
 $\Rightarrow aaaA$  (3)  
 $\Rightarrow aaaaB$  (4)  
 $\Rightarrow aaaabB$  (5)  
 $\Rightarrow aaaabb$  (6)

Grammar:

- (1)  $S \rightarrow aA$   
 (2)  $S \rightarrow aB$   
 (3)  $A \rightarrow aA$   
 G is in GNF  
 (4)  $A \rightarrow aB$   
 $L(G) = a^+b^+$   
 (5)  $B \rightarrow bB$   
 (6)  $B \rightarrow b$

- The corresponding computation of the PDA:

(1)  $\delta(q, a, S) = \{(q, A), (q, B)\}$

(2)  $\delta(q, a, A) = \{(q, A), (q, B)\}$

- $(q, aaaabb, S) \vdash (q, aaabb, A)$   
 $\vdash (q, aabb, A)$   
 $\vdash (q, abb, A)$   
 $\vdash (q, bb, B)$   
 $\vdash (q, b, B)$   
 $\vdash (q, \varepsilon, \varepsilon)$

(1)/1

(3)  $\delta(q, a, B) = \emptyset$

(2)/1

(4)  $\delta(q, b, S) = \emptyset$

(2)/1

(5)  $\delta(q, b, A) = \emptyset$

(2)/2

(6)  $\delta(q, b, B) = \{(q, B), (q, \varepsilon)\}$

(7)  $\delta(q, \varepsilon, S) = \emptyset$

(8)  $\delta(q, \varepsilon, A) = \emptyset$

(9)  $\delta(q, \varepsilon, B) = \emptyset$

- String is read
- Stack is emptied
- Therefore the string is accepted by the PDA

- **Another Example:** Using the PDA from example #2:

$$\begin{array}{lll}
 (q, aabb, S) & \vdash (q, abb, A) & (1)/1 \\
 & \vdash (q, bb, B) & (2)/2 \\
 & \vdash (q, b, B) & (6)/1 \\
 & \vdash (q, \varepsilon, \varepsilon) & (6)/2
 \end{array}$$

- The corresponding derivation using the grammar:

$$\begin{array}{ll}
 S & \Rightarrow aA \quad (1) \\
 & \Rightarrow aaB \quad (4) \\
 & \Rightarrow aabB \quad (5) \\
 & \Rightarrow aabb \quad (6)
 \end{array}$$

- **Example #3:** Consider the following CFG in GNF.

$$(1) \quad S \rightarrow aABC$$

$$(2) \quad A \rightarrow a$$

$$(3) \quad B \rightarrow b$$

$$(4) \quad C \rightarrow cAB$$

$$(5) \quad C \rightarrow cC$$

G is in GNF

*Language?*  
*aab cc\* ab*

Construct M as:

$$Q = \{q\}$$

$$\Sigma = T = \{a, b, c\}$$

$$\Gamma = V = \{S, A, B, C\}$$

$$z = S$$

$$(1) \quad \delta(q, a, S) = \{(q, ABC)\}$$

$$S \rightarrow aABC$$

(9)

$$\delta(q, c, S) = \emptyset$$

$$(2) \quad \delta(q, a, A) = \{(q, \epsilon)\}$$

$$A \rightarrow a$$

$$(10) \quad \delta(q, c, A) = \emptyset$$

$$(3) \quad \delta(q, a, B) = \emptyset$$

$$(11) \quad \delta(q, c, B) =$$

$\emptyset$

$$(4) \quad \delta(q, a, C) = \emptyset$$

$$(12) \quad \delta(q, c, C) = \{(q,$$

$$AB), (q, C)\} // C \rightarrow cAB | cC$$

$$(5) \quad \delta(q, b, S) = \emptyset$$

$$(13) \quad \delta(q, \epsilon, S) =$$

$\emptyset$

$$(6) \quad \delta(q, b, A) = \emptyset$$

$$(14) \quad \delta(q, \epsilon, A) =$$

$\emptyset$

$$(7) \quad \delta(q, b, B) = \{(q, \epsilon)\}$$

$$B \rightarrow b$$

$$(15) \quad \delta(q, \epsilon, B) = \emptyset$$

$$(8) \quad \delta(q, b, C) = \emptyset$$

$$(16) \quad \delta(q, \epsilon, C) =$$

- **Notes:**

- Recall that the grammar  $G$  was required to be in GNF before the construction could be applied.
- As a result, it was assumed at the start that  $\varepsilon$  was not in the context-free language  $L$ .
- What if  $\varepsilon$  is in  $L$ ? You need to add  $\varepsilon$  back.

- **Suppose  $\varepsilon$  is in  $L$ :**

1) First, let  $L' = L - \{\varepsilon\}$

Fact: If  $L$  is a CFL, then  $L' = L - \{\varepsilon\}$  is a CFL.

By an earlier theorem, there is GNF grammar  $G$  such that  $L' = L(G)$ .

2) Construct a PDA  $M$  such that  $L' = L_E(M)$

How do we modify  $M$  to accept  $\varepsilon$ ?

Add  $\delta(q, \varepsilon, S) = \{(q, \varepsilon)\}$ ? *NO!!*

- **Counter Example:**

Consider  $L = \{\epsilon, b, ab, aab, aaab, \dots\} = \epsilon + a^*b$   
 $aaab, \dots\} = a^*b$

Then  $L' = \{b, ab, aab,$

- **The GNF CFG for  $L'$ :**

P:

$$(1) \quad S \rightarrow aS$$

$$(2) \quad S \rightarrow b$$

- **The PDA M Accepting  $L'$ :**

$$Q = \{q\}$$

$$\Sigma = \Gamma = \{a, b\}$$

$$\Gamma = V = \{S\}$$

$$z = S$$

$$\delta(q, a, S) = \{(q, S)\}$$

$$\delta(q, b, S) = \{(q, \epsilon)\}$$

$$\delta(q, \epsilon, S) = \emptyset$$

*How to add  $\epsilon$  to  $L'$  now?*

$$\delta(q, a, S) = \{(q, S)\}$$

$$\delta(q, b, S) = \{(q, \varepsilon)\}$$

$$\delta(q, \varepsilon, S) = \emptyset$$

• If  $\delta(q, \varepsilon, S) = \{(q, \varepsilon)\}$  is added then:

$$L(M) = \{\varepsilon, a, aa, aaa, \dots, b, ab, aab, aaab, \dots\}, \text{ wrong!}$$

It is like,  $S \rightarrow aS \mid b \mid \varepsilon$

which is wrong!

Correct grammar should be:

(0)  $S_1 \rightarrow \varepsilon \mid S$ , with new starting non-terminal  $S_1$

(1)  $S \rightarrow aS$

(2)  $S \rightarrow b$

For PDA, add a new *Stack-bottom symbol*  $S_1$ , with new transitions:

$$\delta(q, \varepsilon, S_1) = \{(q, \varepsilon), (q, S)\}, \text{ where } S \text{ was the previous stack-bottom of } M$$

Alternatively, add a new *start state*  $q'$  with transitions:

$$\delta(q', \varepsilon, S) = \{(q', \varepsilon), (q, S)\}$$

- **Lemma 1:** Let  $L$  be a CFL. Then there exists a PDA  $M$  such that  $L = L_E(M)$ .
- **Lemma 2:** Let  $M$  be a PDA. Then there exists a CFG grammar  $G$  such that  $L_E(M) = L(G)$ .
  - *Can you prove it?*
  - *First step would be to transform an arbitrary PDA to a single state PDA!*
- **Theorem:** Let  $L$  be a language. Then there exists a CFG  $G$  such that  $L = L(G)$  iff there exists a PDA  $M$  such that  $L = L_E(M)$ .
- **Corollary:** The PDAs define the CFLs.



## Sample CFG to GNF transformation:

- $0^n 1^n, n \geq 1$
- $S \rightarrow 0S1 \mid 01$
- GNF:
- $S \rightarrow 0SS_1 \mid 0S_1$
- $S_1 \rightarrow 1$
- *Note: in PDA the symbol  $S$  will float on top, rather than stay at the bottom!*
- *Acceptance of string by removing last  $S_1$  at stack bottom*

# Ignore this slide

• How about language like:  $((()())())$ , nested

$\delta$ :

$$(1) \quad \delta(q_1, (, \#) = \{(q_1, L\#)\}$$

$$(2) \quad \delta(q_1, ), \#) = \emptyset \quad // \text{illegal, string rejected}$$

$$(3) \quad \delta(q_1, (, L) = \{(q_1, LL)\}$$

$$(4) \quad \delta(q_1, ), L) = \{(q_2, \epsilon)\}$$

$$(5) \quad \delta(q_2, ), L) = \{(q_2, \epsilon)\}$$

$$(6) \quad \delta(q_2, (, L) = \{(q_1, LL)\} \quad // \text{not balanced yet, but start back anyway}$$

$$(7) \quad \delta(q_2, (, \#) = \{(q_1, L\#)\} \quad // \text{start afresh again}$$

$$(8) \quad \delta(q_2, \epsilon, \#) = \{(q_2, \epsilon)\} \quad // \text{end of string \& stack hits bottom,}$$

accept

$$(9) \quad \delta(q_1, \epsilon, \#) = \{(q_1, \epsilon)\} \quad // \text{special rule for empty string}$$

$$(10) \quad \delta(q_1, \epsilon, L) = \emptyset \quad // \text{illegal, end of string but more L in}$$

stack

*Total number of transitions? Verify all carefully.*